



STALWART GOVERNED

Attestation of remediation

SG-RVB-2026-S1

SAMPLE · RIVERBEND TAX & BOOKS IS A FICTIONAL BUSINESS

Client	Riverbend Tax & Books
Engagement	AI & Security Sprint — remediation
Follow-up review	2026-09-03 to 2026-09-04
Issued	2026-09-05
Examined again	5 of 5 — 4 cleared, 1 deferred by the client
The register	SG-RVB-2026-A1 · F-01 — Client tax information pasted into a personal AI chat tool Critical (D4×E3) at the audit — CLEARED — confirmed at the agreed end point by the follow-up review. SG-RVB-2026-A1 · F-02 — An AI tool that can learn from what is typed, with no data-processing agreement in place Critical (D4×E3) at the audit — CLEARED — confirmed at the agreed end point by the follow-up review. SG-RVB-2026-A1 · F-03 — No second sign-in step on systems that hold client information High (D4×E2) at the audit — CLEARED — confirmed at the agreed end point by the follow-up review. SG-RVB-2026-A1 · F-04 — Access not removed when people leave High (D4×E2) at the audit — CLEARED — confirmed at the agreed end point by the follow-up review. SG-RVB-2026-A1 · F-05 — AI features switched on inside the tax software, with training terms unclear High (D4×E2) at the audit and now — DEFERRED BY CLIENT — the client chose to wait until 2026-09-15 to finish this. The risk is contained until then, and the Sprint record explains why.

Sprint record

sha256 of the Sprint record's source document, not of this attestation

b181dca60115bec57b6ceb58075ceb2f32ee059df907bbc12c92ca10f5c6eb92

In answer to

SG-RVB-2026-A1 · sha256 of the audit's source document, not of this attestation

7c85a72dd35fd03be367f03d32ec4e360ffb0877d6ea2221e78edaef2367f2eb

This attestation is prepared by Stalwart Governed, which carried out the remediation. It is our own account of that work, limited to what the follow-up review observed. The audit it answers was our review of the business before anything was changed. It confirms that the remediation engagement above was performed, and that its record was issued under our fixed method. Each finding above is stated exactly as the follow-up review answered it, and a finding is marked cleared only where the review confirmed it. This attestation is not an independent audit. It does not certify the business as safe, and no one can. The Sprint record is the client's, and confidential unless they share it. This attestation is theirs to share. Any copy of it, of the Sprint record or of the audit it answers can be checked by dropping the file itself on our Verify page, <https://stalwartgoverned.com/verify>. The fingerprints above name the other two documents. They are not this attestation's own.

Signed: Peter Saar, CISSP #2450556

for Stalwart Governed · AI & Security Governance · vCISO

Issued by Stalwart Governed LLC.

